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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)	
	10/713,369	BEASLEY, PETER M.	
Office Action Summary	Examiner	Art Unit	
	MICHAEL LE	2163	
The MAILING DATE of this communic Period for Reply	ation appears on the cover sheet v	rith the correspondence address	
A SHORTENED STATUTORY PERIOD FO WHICHEVER IS LONGER, FROM THE MA - Extensions of time may be available under the provisions of after SIX (6) MONTHS from the mailing date of this commur - If NO period for reply is specified above, the maximum statu - Failure to reply within the set or extended period for reply wi Any reply received by the Office later than three months afte earmed patent term adjustment. See 37 CFR 1.704(b).	ILING DATE OF THIS COMMUN 37 CFR 1.136(a). In no event, however, may a lication. tory period will apply and will expire SIX (6) MO II, by statute, cause the application to become A	CATION. reply be timely filed NTHS from the mailing date of this communication BANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed	b) This action is non-final. In allowance except for formal ma	•	;
Disposition of Claims			
4)	withdrawn from consideration. 2-34 is/are rejected.	.	
Application Papers			
9) ☐ The specification is objected to by the 10) ☑ The drawing(s) filed on 06 July 2009 is Applicant may not request that any objection Replacement drawing sheet(s) including the 11) ☐ The oath or declaration is objected to be	s/are: a)⊠ accepted or b)⊡ obje on to the drawing(s) be held in abeya ne correction is required if the drawing	nce. See 37 CFR 1.85(a). g(s) is objected to. See 37 CFR 1.121(d	ł).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for a) All b) Some * c) None of: 1. Certified copies of the priority december 2. Certified copies of the priority december 2.	ocuments have been received. ocuments have been received in a the priority documents have been al Bureau (PCT Rule 17.2(a)).	Application No n received in this National Stage	
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO SI) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	D-948) Paper No	Summary (PTO-413) (s)/Mail Date Informal Patent Application 	

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DETAILED ACTION

Summary and Status of Claims

- 1. This Office Action is in response to Applicant's reply filed July 6, 2009.
- 2. Claim 34 is newly added.
- 3. Claims 1-5, 10, 12-15, 17-20, and 22-34 are pending.
- 4. Claims 23-31 are withdrawn from consideration.
- 5. Claims 1-5, 10, 12-15, 17-20, 22, and 32-34 have been examined below.
- 6. Claim 20 is rejected under 35 U.S.C. 101.
- 7. Claims 1-5, 10, 12-15, 17-20, 22, 32, 33 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wilkinson et al. (US Patent Pub 2002/0143775) of record, in view of Bly et al. (US patent Pub 2002/0077944) of record.
- 8. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Specification

- 9. The amendment filed July 6, 2009 is objected to under 35 U.S.C. 132(a) because it introduces new matter into the disclosure. 35 U.S.C. 132(a) states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows: The added description of a computer-readable medium in paragraph 0057 contains material which is not supported by the original disclosure.
- 10. Applicant is required to cancel the new matter in the reply to this Office Action.

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Claim Rejections - 35 USC § 101

11. Claim 20 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

- 12. The basis of this rejection is set forth in a test of whether the invention is categorized as a process, machine, manufacture or composition of matter.
- 13. In the present case, **claim 20** recites a computer program embodied on a computer readable medium. It is unclear from the specification what is encompassed by the computer readable medium. In view of Applicant's amendment to the specification, which is objected to as set forth above, the Examiner interprets the computer readable medium of claim 20 to also encompass nonstatutory subject matter. The amendment states that a medium can be various things such as firmware. Firmware is software and not a physical computer medium. Therefore, even if Applicant's amendment were entered, it would not resolve the 101 issue of claim 20. In accordance with the specification objection set forth above, Applicant should cancel the new matter in reply to this Office Action and make a positive statement that the computer readable medium of the claim is not intended to encompass software, signals, or other transmission media.
- 14. To expedite a complete examination of the instant application, the claims rejected under 35 U.S.C. 101 (nonstatutory) above are further rejected as set forth below in anticipation of applicant amending these claims to place them within the four statutory categories of invention.

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Claim Rejections - 35 USC § 103

15. Claims 1-5, 10, 12-15, 17-20, 22, 32, 33 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wilkinson et al. (US Patent Pub 2002/0143775) (Wilkinson) of record, in view of Bly et al. (US patent Pub 2002/0077944) (Bly) of record.

- 16. In regards to **claim 1**, Wilkinson discloses an apparatus for managing an infrastructure comprising:
 - a. a computer (Wilkinson at para. 0020 "server");
 - a user interface communicably coupled to the computer (Wilkinson at para. 0020 "browser");
 - c. a database communicably coupled to the computer (Wilkinson at para. 0020), the database containing two or more records, each record having a unique identifier and one or more data fields representing attributes of an asset or asset related item within the infrastructure (Wilkinson at para. 0049, lines 1-4), and all the records are linked in a database structure to represent the assets and asset related items (1) in a hierarchical manner in accordance with one or more business rules of the infrastructure that define how the assets and asset related items are interconnected (Wilkinson at para. 0037-8¹), and (3a) how one or more persons use the assets and asset related items (Wilkinson at Fig. 5; para 0034-5)²; and

¹ Taxonomy is interpreted as "business rules."

² The projects are created by a user and show how a user wishes to access the system (i.e., how the user uses the assets and related items).

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- d. a computer program embodied on a computer readable medium that is executed by the computer to manage the infrastructure using the database. Wilkinson at para.

 0021.
- 17. Wilkinson does not expressly disclose that the records in the database are linked to represent assets and asset related items (2) in a life cycle of the asset and asset related items.
- 18. Bly discloses an asset database containing records of assets with attributes such as operating life. Bly at fig. 14; para. 0146.
- 19. Wilkinson and Bly are analogous art because they are both directed toward the same endeavor of asset management.
- 20. At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the apparatus of Wilkinson by adding the feature of having the records in the database represent assets and asset related items (2) in a life cycle of the asset and asset related items, as taught by Bly.
- 21. The motivation for doing so would have been because the life cycle of an asset is important in determining characteristics of an asset, such as maintenance cost, sales value, etc. Bly at para. 0009.
- 22. In regards to **claim 2**, Wilkinson in view of Bly discloses the apparatus as recited in claim 1, wherein the one or more data fields further represent attributes of a sub-element for one or more assets. Wilkinson at para. 047.
- 23. In regards to **claims 3**, Wilkinson in view of Bly discloses the apparatus as recited in claim 1, wherein the one or more data fields representing attributes of the asset or asset related

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item include an asset name data field, a manufacturer name data field, a make data field (Bly at Fig. 14), a model number data field, a serial number data field, a description data field, a purchase date data field, an expiration date data field, a purchase cost data field or an operating characteristics data field.

- 24. In regards to **claim 4**, Wilkinson in view of Bly disclose the apparatus as recited in claim 1, wherein the data records for the asset related items store data corresponding to places, connections, contracts (Bly at para. 0119)³, documentation or financials.
- 25. In regards to **claim 5**, Wilkinson in view of Bly disclose the apparatus as recited in claim 4, wherein:
 - a. the places include physical sites or locations (Bly at Fig. 14 "location field");
 - b. the connections include electrical connections (Bly at Fig. 9 "Power"), physical connections, logical connections, communication links, interfaces, junctions, circuits or patch panels;
 - c. the contracts include leases (Bly at para. 0119), title documents, maintenance contracts, license agreements, clients contracts, resource allocation or service-level agreements;
 - d. the documentation includes support documents, maintenance schedules (Bly at Fig. 6), procedural documentation, operational documentation or policy documentation; and
 - e. the financials include purchase records, repair records, warranties, operational costs (Bly at Fig. 14), charge-backs or down-time costs.

³ Lease information is interpreted as contracts.

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26. In regards to **claim 10**, Wilkinson in view of Bly discloses the apparatus are recited in claim 1, wherein the life cycle of the asset and asset related items comprises procurement, implementation, usage (Bly at Fig. 14), modification, change, decommission, disposal or salvage information about the asset or asset related item.

- 27. In regards to **claim 12**, Wilkinson discloses a method for managing an infrastructure using a computer comprising the steps of:
 - a. providing a database communicably coupled to the computer, wherein the database contains two or more records, each record having a unique identifier and one or more data fields representing attributes of an asset or asset related item within the infrastructure (Wilkinson at para. 0049, lines 1-4), and all the records are linked in a database structure to represent the assets and asset related items (1) in a hierarchical manner in accordance with one or more business rules of the infrastructure that define how the assets and asset related items are interconnected(Wilkinson at para. 0037-8⁴), and (3a) how one or more persons use the assets and asset related items (Wilkinson at Fig. 5; para 0034-5)⁵;
 - b. processing one or more user requests using the computer to display or report information stored in the database (Wilkinson at para. 0045); and
 - c. updating the database using the computer as asset or asset related items are procured, implemented, changed or disposed. Wilkinson at Fig. 11; para. 0081-4.
- 28. Wilkinson does not expressly disclose that the records in the database are linked to represent assets and asset related items (2) in a life cycle of the asset and asset related items.

⁴ Taxonomy is interpreted as "business rules."

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29. Bly discloses an asset database containing records of assets with attributes such as operating life. Bly at fig. 14; para. 0146.

- 30. Wilkinson and Bly are analogous art because they are both directed toward the same endeavor of asset management.
- 31. At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the apparatus of Wilkinson by adding the feature of having the records in the database represent assets and asset related items (2) in a life cycle of the asset and asset related items, as taught by Bly.
- 32. The motivation for doing so would have been because the life cycle of an asset is important in determining characteristics of an asset, such as maintenance cost, sales value, etc. Bly at para. 0009.
- 33. In regards to **claim 13**, Wilkinson in view of Bly discloses the method as recited in claim 12, further comprising the step of creating the database. Wilkinson at para. 0075.
- 34. In regards to **claim 14**, Wilkinson in view of Bly discloses the method as recited in claim 13, wherein the step of creating the database comprises the steps of:
 - a. identifying one or more boundaries for the infrastructure (Wilkinson at para.
 0018⁶);
 - b. identifying the assets within the boundaries (Wilkinson at para. 0036);
 - c. identifying the items related to the assets (Wilkinson at para. 0060);

⁵ The projects are created by a user and show how a user wishes to access the system (i.e., how the user uses the assets and related items).

⁶ The number of ingest stations is interpreted as one or more boundaries because the ingest stations make up the infrastructure.

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d. identifying one or more persons that use the assets and asset related items (Wilkinson at para. 0051; para. 0087);

- e. determining the attributes associated with each asset and asset related item (Wilkinson at para. 0035; para. 0041; para. 0045);
- f. determining the business rules of the infrastructure that define how the assets and related items are interconnected (Wilkinson at para. 0051; para. 0058; para. 0060); and
- g. creating each record and storing the record in the database. Wilkinson at para.0075.
- 35. In regards to **claim 15**, Wilkinson in view of Bly discloses the method as recited in claim 14, further comprising the steps of:
 - a. determining one or more sub-elements for the assets and one or more attributes associated with the sub-elements (Wilkinson at para. 0047); and
 - b. determining one or more business rules for how the sub-elements and assets are linked. Wilkinson at para. 0047.
- 36. In regards to **claim 17**, Wilkinson in view of Bly discloses the method as recited in claim 14, further comprising the step of identifying one or more people who use information about the asset. Wilkinson at para. 0087⁷.
- 37. In regards to **claim 18**, Wilkinson in view of Bly discloses the method as recited in claim 14, further comprising the step of designing data display and report formats. Bly at para. 0128; para. 0146.

⁷ Travel agents are one or more people who use information about the assets.

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38. In regards to **claim 19**, Wilkinson in view of Bly discloses the method as recited in claim 14, further comprising the steps of:

- a. identifying a managing agency (Wilkinson at para. 0007; para. 0087); and
- b. determining one or more goals for the managing agency. Wilkinson at para. 0087^8 .
- 39. **Claim 20** is essentially the same as claim 12 in the form of a computer program on a computer readable medium and is therefore rejected for the same reasons. Wilkinson at para. 0021.
- 40. In regards to **claim 22**, Wilkinson in view of Bly discloses the method as recited in claim 12, further comprising the step of determining who or what is affected by a change in one or more of the assets or asset related items using the one or more business rules and the hierarchy. Wilkinson at para. 0035⁹.
- 41. In regards to **claim 32**, Wilkinson discloses a method of using a computerized asset management system comprising the steps of:
 - a. providing a database communicably coupled to a computer, wherein the database contains two or more records, each record having a unique identifier and one or more data fields representing attributes of an asset or asset related item within the infrastructure (Wilkinson at para. 0049, lines 1-4), and all the records are linked in a database structure to represent the assets and asset related items (1) in a hierarchical manner in accordance with one or more business rules of the infrastructure that define how the assets and asset

⁸ The managing agency is interpreted as a traveling agency because they manage and use the assets. Their customizations and preferences is interpreted as "determining one or more goals for the managing agency."

⁹ Projects are created by users. Changes to the assets would affect the projects and in turn the users. These affects are determined through the hierarchy shown in Fig. 5.

related items are interconnected(Wilkinson at para. 0037-8¹⁰), and (3a) how one or more persons use the assets and asset related items (Wilkinson at Fig. 5; para 0034-5)¹¹; and

- b. accessing the database using the computer to determine who or what is affected by a change in one or more of the assets or asset related items using the one or more business rules and the hierarchy. Wilkinson at para. 0035¹².
- 42. Wilkinson does not expressly disclose that the records in the database are linked to represent assets and asset related items (2) in a life cycle of the asset and asset related items.
- 43. Bly discloses an asset database containing records of assets with attributes such as operating life. Bly at fig. 14; para. 0146.
- 44. Wilkinson and Bly are analogous art because they are both directed toward the same endeavor of asset management.
- 45. At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the apparatus of Wilkinson by adding the feature of having the records in the database represent assets and asset related items (2) in a life cycle of the asset and asset related items, as taught by Bly.
- 46. The motivation for doing so would have been because the life cycle of an asset is important in determining characteristics of an asset, such as maintenance cost, sales value, etc. Bly at para. 0009.

¹⁰ Taxonomy is interpreted as "business rules."

¹¹ The projects are created by a user and show how a user wishes to access the system (i.e., how the user uses the assets and related items).

¹² Projects are created by users. Changes to the assets would affect the projects and in turn the users. These affects are determined through the hierarchy shown in Fig. 5.

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47. In regards to **claim 33**, Wilkinson in view of Bly discloses the method as recited in claim 32, further comprising the steps of:

- a. adding one or more records to the database for one or more new assets, new
 persons, new attributes, new business rules and new boundaries (Wilkinson at para. 0081-4);
- b. providing one or more data screens and reports to the users of the system (Wilkinson at para. 0045);
- c. receiving data from automated systems and storing the received information in the database (Bly at para. 0046); and
- d. customizing the system for one or more applications. Wilkinson at para. 0089.
- 48. In regards to **claim 34**, Wilkinson discloses an apparatus for managing an infrastructure comprising:
 - a. a computer (Wilkinson at para. 0020 "server");
 - b. a user interface communicably coupled to the computer (Wilkinson at para. 0020 "browser");
 - c. a database communicably coupled to the computer (Wilkinson at para. 0020), the database containing two or more records, each record having a unique identifier and one or more data fields representing attributes of an asset or asset related item within the infrastructure (Wilkinson at para. 0049, lines 1-4), and all the records are linked in a database structure to represent the assets and asset related items (1) in a hierarchical

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manner in accordance with one or more business rules of the infrastructure that define how the assets and asset related items are interconnected (Wilkinson at para. 0037-8¹³), and (3a) how one or more persons use the assets and asset related items (Wilkinson at Fig. 5; para 0034-5)¹⁴; and

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- d. a computer program embodied on a computer readable medium that is executed by the computer to manage the infrastructure using the database. Wilkinson at para. 0021.
- 49. Wilkinson does not expressly disclose that the records in the database are linked to represent assets and asset related items (2) in a life cycle of the asset and asset related items and wherein the one or more data fields representing attributes of the asset or asset related item include an asset name data field, a manufacturer name data field, a make data field, a model number data field, a serial number data field, a description data field, a purchase date data field, an expiration date data field, a purchase cost data field or an operating characteristic data field; wherein the data records for the asset related items store data corresponding to places, connections, contracts, documentation or financials; wherein (a) the places include physical sites or locations, (b) the connections include electrical connections, physical connections, logical connections, communication links, interfaces, junctions, circuits or patch panels, (c) the contracts include leases, title documents, maintenance contracts, license agreements, clients contracts, resource allocation or service-level agreements, (d) the documentation includes support documents, maintenance schedules, procedural documentation, operational documentation or policy documentation, and (e) the financials include purchase records, repair records, warranties,

¹³ Taxonomy is interpreted as "business rules."

¹⁴ The projects are created by a user and show how a user wishes to access the system (i.e., how the user uses the assets and related items).

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operational costs, charge-backs or down-time costs; and wherein the life cycle of the asset and asset related items comprises procurement, implementation, usage, modification, change, decommission, disposal or salvage information about the asset or asset related item.

- 50. Bly discloses an asset database containing records of assets with attributes as well as maintenance history logs and leasing information Bly at fig. 6; fig. 14; para. 0119; para. 0146.
- 51. At the time of the invention it would have been obvious to one of ordinary skill in the art to modify the apparatus of Wilkinson by adding the feature of having the records in the database represent assets and asset related items (2) in a life cycle of the asset and asset related items and contain attributes wherein the one or more data fields representing attributes of the asset or asset related item include an asset name data field, a manufacturer name data field, a make data field, a model number data field, a serial number data field, a description data field, a purchase date data field, an expiration date data field, a purchase cost data field or an operating characteristic data field; wherein the data records for the asset related items store data corresponding to places, connections, contracts, documentation or financials; wherein (a) the places include physical sites or locations, (b) the connections include electrical connections, physical connections, logical connections, communication links, interfaces, junctions, circuits or patch panels, (c) the contracts include leases, title documents, maintenance contracts, license agreements, clients contracts, resource allocation or service-level agreements, (d) the documentation includes support documents, maintenance schedules, procedural documentation, operational documentation or policy documentation, and (e) the financials include purchase records, repair records, warranties, operational costs, charge-backs or down-time costs; and wherein the life cycle of the asset and

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asset related items comprises procurement, implementation, usage, modification, change, decommission, disposal or salvage information about the asset or asset related item, as taught by Bly.

52. The motivation for doing so would have been because the life cycle of an asset is important in determining characteristics of an asset, such as maintenance cost, sales value, etc. Bly at para. 0009.

Response to Amendment

Drawings

53. Applicant's amendment to the drawings to address insufficient quality is acknowledged. Consequently, objection to the drawings is withdrawn.

Rejection of Claims 3-5 and 10 under 35 U.S.C 112, Second Paragraph

54. Applicant's amendment to claims 3-5 and 10 is acknowledged. The rejection to claims 3-5 and 10 under 35 U.S.C. 112, second paragraph is withdrawn.

Rejection of Claims 3-5, 10, 12-15, 17-20, 22, 32, and 33 under 35 U.S.C 101

55. Applicant's amendment to claims 3-5, 10, 12-15, 17-19, 22, 32, and 33 is acknowledged. Consequently, the rejection to claims 3-5, 10, 12-15, 17-19, 22, 32, and 33 under 35 U.S.C. 101 is withdrawn. The rejection of claim 20 under 35 U.S.C. 101 is maintained for the reasons set forth in the rejection above.

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Response to Arguments

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Rejection of claims 1-5, 10, 12-15, 17-20, 22, 32, and 33 under 35 U.S.C. 103(a)

Applicant's arguments in regards to the rejections to claims 1-5, 10, 12-15, 17-20, 22, 32, and 33 under 35 U.S.C. 103(a), have been fully considered but they are not persuasive.

Applicant alleges that Wilkinson fails to disclose (1) "assets or asset related items within an infrastructure", (2) "one or more business rules of the infrastructure that define how the assets and asset related items are interconnected," and in combination with Bly, fails to disclose (3) "the records in the database are linked to represent assets and asset related items in a life cycle of the asset and asset related items" (Remarks at 17-8.) The Examiner respectfully disagrees.

57. Limitations (1) and (2) are related and will be addressed together. In regards to limitations (1) and (2), Applicant seems to argue Wilkinson fails to disclose the infrastructure recited in the claims because the multi-media asset items of Wilkinson are not the same as the assets or asset related items of the claims. Applicant seems to have a different intended interpretation of assets or asset related items within an infrastructure than interpreted by the Examiner. However, the claims do not require a specific interpretation because assets or asset related items, broadly interpreted, can be many things including multi-media assets. An infrastructure can also broadly interpreted to cover, for example, the underlying system as shown in figure 1 of Wilkinson. Thus, the "assets or asset related items within the infrastructure" recited in the claim is met by the multi-media assets in the system disclosed by Wilkinson. Given this interpretation, in regards to limitation (2), Wilkinson discloses a hierarchical taxonomy. Wilkinson at para. 0037-8; paras. 0050-1. Hierarchical trees, like the one disclosed by Wilkinson, show relationships between its nodes (i.e., business rules of the infrastructure that

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define how the assets and asset related items are interconnected). Thus, Wilkinson discloses limitation (2). Lastly, Applicant alleges Wilkinson in view of Bly fails to disclose limitation (3). As noted by Applicant, Bly discloses the importance of the maintenance history of an asset during its operating life. Remarks at 19. Bly also discloses that the asset information is stored in a database (i.e., database structure). Bly at para. 0045. Bly also discloses the assets having attributes that can be used to determine operating life such as "Year MFG" and "In Service." Bly at Fig. 14. Thus, Bly discloses records in the database that are linked to represent assets and asset related items in a life cycle of the asset and asset related items.

58. Consequently, the rejection to claims 1-5, 10, 12-15, 17-20, 22, 32, and 33 under 35 U.S.C. 103(a) is maintained.

Conclusion

- 59. **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).
- 60. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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61. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Michael Le whose telephone number is 571-272-7970. The

examiner can normally be reached on Mon-Thurs: 9:30am-6pm, Fri: 8am-4:30pm.

62. If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Don Wong can be reached on 571-272-1834. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

63. Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Michael Le/

Examiner, Art Unit 2163

/Wilson Lee/

Primary Examiner, Art Unit 2163

11-9-09